## Lecture 2: Axiomatic framework and QCD

2.1. Prove that the smeared fields transform under translation in the same way as local fields, i.e.

$$\phi^f(x+a) = e^{iPa}\phi^f(x)e^{-iPa}.$$
(1)

What happens under Lorentz transformation?

**Solution:** The smeared field at x is defined

$$\phi^f(x) = \int d^4y \, f(x-y)\phi(y). \tag{2}$$

So the smeared field at x + a can be written

$$\phi^f(x+a) = \int d^4y \, f(x+a-y)\phi(y) \tag{3}$$

$$y' = y - a \implies = \int d^4 y' f(x - y') \phi(y' + a). \tag{4}$$

Now consider the translation:

$$e^{iPa}\phi^f(x)e^{-iPa} = e^{iPa}\left(\int d^4y \,f(x-y)\phi(y)\right) \,e^{-iPa} \tag{5}$$

$$= \int d^4y f(x-y)e^{iPa}\phi(y)e^{-iPa}$$
(6)

$$= \int d^4y f(x-y)\phi(y+a) \tag{7}$$

$$=\phi^f(x+a). \tag{8}$$

Recalling that the Lorentz translation of the regular field is

$$U(\Lambda, a)\phi(x)U^{-1}(\Lambda, a) = R(\Lambda^{-1})\phi(\Lambda x + a),$$
(9)

we consider the Lorentz transformation of the smeared field:

$$U(\Lambda, a)\phi^{f}(x)U^{-1}(\Lambda, a) = U(\Lambda, a)\left(\int d^{4}y f(x-y)\phi(y)\right)U^{-1}(\Lambda, a)$$
(10)

$$= \int d^4y \, f(x-y)U(\Lambda,a)\phi(y)U^{-1}(\Lambda,a) \tag{11}$$

$$= \int d^4y \, f(x-y) R(\Lambda^{-1}) \phi(\Lambda y+a) \tag{12}$$

$$= R(\Lambda^{-1}) \int d^4y \, f(x-y)\phi(\Lambda y+a) \tag{13}$$

$$y' = \Lambda y \implies = R(\Lambda^{-1}) \int d^4 y' f(x - \Lambda^{-1} y') \phi(y')$$
 (14)

$$= R(\Lambda^{-1}) \int d^4 y' f_\Lambda(\Lambda x - y')\phi(y') \tag{15}$$

$$= R(\Lambda^{-1})\phi^{f_{\Lambda}}(\Lambda x), \tag{16}$$

where we have transformed the test function  $f \to f_{\Lambda}(x) = f(\Lambda^{-1}x)$ . The smeared field transforms like a field at  $\Lambda x$  with a transformed smearing profile.

2.2. Define the Fourier transform of a field operator  $\phi(x)$  as

$$\tilde{\phi}(p) = \int d^4x \, e^{ipx} \phi(x). \tag{17}$$

Let  $|p\rangle$  be an eigenstate of the four-momentum operator  $P_{\mu}$  with eigenvalue  $p_{\mu}$ . Prove that  $\tilde{\phi}(q)|p\rangle$  and  $\tilde{\phi}^{\dagger}(q)|p\rangle$  are eigenstates of  $P_{\mu}$  and calculate the corresponding eigenvalues. Argue that  $\tilde{\phi}^{\dagger}(q)$  and  $\tilde{\phi}(q)$  act as creation and annihilation operators for the four momentum.

Solution: The momentum operator generates translations as

$$[P^{\mu},\phi(x)] = -i\partial^{\mu}\phi(x), \tag{18}$$

which implies a commutator also exists for the Fourier-transformed field:

$$[P^{\mu}, \tilde{\phi}(q)] = \int d^4x \, e^{iqx} [P^{\mu}, \phi(x)] = -i \int d^4x \, e^{iqx} \partial^{\mu} \phi(x). \tag{19}$$

This can be evaluated using IBP:

$$[P^{\mu}, \tilde{\phi}(q)] = -i\left(-\int d^4x \,(\partial^{\mu} e^{iqx})\phi(x)\right) \tag{20}$$

$$=i\int d^4x i q^\mu e^{iqx}\phi(x) \tag{21}$$

$$= -q^{\mu}\tilde{\phi}(q). \tag{22}$$

So then we can consider

$$P^{\mu}\left(\tilde{\phi}(q)|p\rangle\right) = \left(P^{\mu}\tilde{\phi}(q)\right)|p\rangle \tag{23}$$

$$= \left(\tilde{\phi}(q)P^{\mu} + [P^{\mu}, \tilde{\phi}(q)]\right)|p\rangle \tag{24}$$

$$=\tilde{\phi}(q)P^{\mu}|p\rangle + [P^{\mu},\tilde{\phi}(q)]|p\rangle$$
(25)

$$= p^{\mu}\tilde{\phi}(q)|p\rangle - q^{\mu}\tilde{\phi}(q)|p\rangle \tag{26}$$

$$= (p^{\mu} - q^{\mu}) \,\tilde{\phi}(q) |p\rangle.$$
<sup>(27)</sup>

Similarly, with the commutator

$$[P^{\mu}, \phi^{\dagger}(x)] = i\partial_{\mu}\phi^{\dagger}(x) \implies [P^{\mu}, \tilde{\phi}^{\dagger}(q)] = q^{\mu}\tilde{\phi}^{\dagger}(q).$$
<sup>(28)</sup>

Then,

$$P^{\mu}\left(\tilde{\phi}^{\dagger}(q)|p\rangle\right) = (p^{\mu} + q^{\mu})\tilde{\phi}^{\dagger}|p\rangle.$$
<sup>(29)</sup>

So  $\tilde{\phi}^{\dagger}(q)$  and  $\tilde{\phi}(q)$  act as creation and annihilation operators on the four-momentum by injecting or removing momentum from the state.

- 2.3. Consider two observables A and B which, for simplicity, are assumed to have only discrete non-degenerate eigenvalues. Denote by  $a_n$  and  $b_m$  the eigenvalues of A and B, respectively, and by  $|a_n\rangle$  and  $|b_m\rangle$  the corresponding eigenstates. Consider a generic normalised state  $|\psi\rangle$ . We imagine two different measurement protocols:
  - 1. We measure A on the state  $|\psi\rangle$  first, and then B;

2. We measure B on the state  $|\psi\rangle$  first, and then A.

Recall that the state changes as a consequence of the measurement procedure. Let  $p_{n,m}$  (resp.  $q_{n,m}$ ) be the probability of obtaining  $a_n$  as the result of the measurement of A and  $b_m$  as the result of the measurement of B in the first (resp. second) protocol. Write a formula for  $p_{n,m}$  and  $q_{n,m}$  in terms of the eigenstates  $|a_n\rangle$  and  $|b_m\rangle$  and the state  $|\psi\rangle$ . Show that the order in which the observables are measured does not matter (for any state  $|\psi\rangle$ ) if and only if the two observables commute.

**Solution:** Let's look at the two protocols separately:

1. First, measure A. The probability of outcome  $a_n$  is

$$P(a_n) = |\langle a_n | \psi \rangle|^2, \tag{30}$$

and the post-measurement state collapses to  $|a_n\rangle$ . Now, measure B on  $|a_n\rangle$ . The probability of outcome  $b_m$  is

$$P(b_m|a_n) = |\langle b_m|a_n \rangle|^2.$$
(31)

The total joint probability is then

$$p_{n,m} = P(a_n)P(b_m|a_n) = |\langle a_n|\psi\rangle|^2 \cdot |\langle b_m|a_n\rangle|^2.$$
(32)

2. First, measure B. The probability of outcome  $b_m$  is

$$P(b_m) = |\langle b_m | \psi \rangle|^2, \tag{33}$$

and the post-measurement state collapses to  $|b_m\rangle$ . Now, measure A on  $|b_m\rangle$ . The probability of outcome  $a_n$  is

$$P(a_n|b_m) = |\langle a_n|b_m \rangle|^2.$$
(34)

The total joint probability is then

$$q_{n,m} = P(b_m)P(a_n|b_m) = |\langle b_m|\psi\rangle|^2 \cdot |\langle a_n|b_m\rangle|^2.$$
(35)

Now let's show that these are equivalent if A and B commute. If [A, B] = 0, then there exists a common orthonormal basis  $\{|c_k\rangle\}$  such that

$$A|c_k\rangle = a_k|c_k\rangle, \quad B|c_k\rangle = b_k|c_k\rangle \implies |a_n\rangle = |b_m\rangle \text{ if } a_n = a_m \text{ etc.}$$
 (36)

Therefore,  $\langle a_n | b_m \rangle = \delta_{nm}$  and then the probabilities are

$$p_{n,m} = |\langle a_n | \psi \rangle|^2 \cdot \delta_{n,m},\tag{37}$$

$$q_{n,m} = |\langle b_n | \psi \rangle|^2 \cdot \delta_{n,m} = |\langle a_n | \psi \rangle|^2 \cdot \delta_{n,m}.$$
(38)

So  $p_{n,m} = q_{n,m}$  if [A, B] = 0.

Now the **only if**: Suppose  $p_{n,m} = q_{n,m}$  for all normalised states  $|\psi\rangle$ , then

$$|\langle a_n | \psi \rangle|^2 \cdot |\langle b_m | a_n \rangle|^2 = |\langle b_m | \psi \rangle|^2 \cdot |\langle a_n | b_m \rangle|^2.$$
(39)

Choose for example  $|\psi\rangle = |a_k\rangle$ , then find

$$\delta_{nk} \cdot |\langle b_m | a_n \rangle|^2 = |\langle b_m | a_k \rangle| \cdot |\langle a_n | b_m \rangle|^2.$$
(40)

If we assume  $|\langle b_m | a_k \rangle|^2 \neq 0$ , then we find

$$\delta_{nk} = |\langle a_n | b_m \rangle|^2. \tag{41}$$

Or otherwise,

$$|\langle a_n | b_m \rangle|^2 = \delta_{n, f(m)},\tag{42}$$

for some unique mapping  $f(m) \to n$ .

2.4. In the free scalar case, prove the formulae:

$$\langle \Omega | T\{\phi(x)\phi(0)\} | \Omega \rangle = \lim_{\epsilon \to 0^+} \int \frac{d^4p}{(2\pi)^4} \frac{ie^{-ip \cdot x}}{p^2 - m^2 + i\epsilon},\tag{43}$$

$$\langle \Omega | \phi(x)\phi(0) | \Omega \rangle = \int \frac{d^4p}{(2\pi)^4} \theta(p_0) \, 2\pi \, \delta(p^2 - m^2) e^{-ip \cdot x}. \tag{44}$$

## Solution:

• First, we will compute eq. (44). The scalar field operator has the expansion

$$\phi(x) = \int \frac{d^3p}{(2\pi)^3 2E(\mathbf{p})} \left[ a(p)e^{-ip\cdot x} + a^{\dagger}(p)e^{ip\cdot x} \right].$$
(45)

We insert this into eq. (44), finding

$$\langle \Omega | \phi(x) \phi(0) | \Omega \rangle = \int \frac{d^3 p}{(2\pi)^3 2 E(\boldsymbol{p})} \frac{d^3 q}{(2\pi)^3 E(\boldsymbol{q})} \langle \Omega | \left[ a(p) e^{-i\boldsymbol{p}\cdot\boldsymbol{x}} + a^{\dagger}(p) e^{i\boldsymbol{p}\cdot\boldsymbol{x}} \right] \left[ a(q) + a^{\dagger}(q) \right] | \Omega \rangle$$

$$\tag{46}$$

The only non-zero contribution comes from

$$\langle \Omega | a(p) a^{\dagger}(q) | \Omega \rangle = 2E(\boldsymbol{p})(2\pi)^{3} \delta^{(3)}(\boldsymbol{p} - \boldsymbol{q}), \qquad (47)$$

leading to

$$\langle \Omega | \phi(x) \phi(0) | \Omega \rangle = \int \frac{d^3 p}{(2\pi)^3 2E(\mathbf{p})} e^{-i\mathbf{p} \cdot x}.$$
(48)

This is the standard result of the Wightman function in terms of a 3-momentum integral, but now we want to express this as a 4-momentum integral. We require:

- ⇒ a delta function  $\delta(p^2 m^2)$  to enforce the mass-shell condition  $p^0 = \pm \sqrt{p^2 + m^2}$ ;
- ⇒ a  $\theta(p_0)$  to pick out the positive frequency  $p_0 > 0$ ;
- $\Rightarrow$  the Jacobian of the delta function integral yields

$$\int d^4p \,\delta(p^2 - m^2)\theta(p_0)f(p) = \int \frac{d^3p}{2E(\mathbf{p})}f(E(\mathbf{p}), p).$$
(49)

So now we can write the 4-momentum integral as

$$\langle \Omega | \phi(x) \phi(0) | \Omega \rangle = \int \frac{d^4 p}{(2\pi)^4} \theta(p_0) \, 2\pi \, \delta(p^2 - m^2) e^{-ip \cdot x}. \tag{50}$$

• Now for eq. (43), we want to compute the Feynman propagator in position space,

$$i\Delta_F(x) = \langle \Omega | T\{\phi(x)\phi(0)\} | \Omega \rangle.$$
(51)

The time-ordered product  $T\{\phi(x)\phi(0)\}$  is defined

$$T\{\phi(x)\phi(0)\} = \begin{cases} \phi(x)\phi(0), & \text{if } x^0 > 0, \\ \phi(0)\phi(x), & \text{if } x^0 < 0, \end{cases}$$
(52)

so the VEV of this is

$$\langle \Omega | T\{\phi(x)\phi(0)\} | \Omega \rangle = \theta(x_0) \langle \Omega | \phi(x)\phi(0) | \Omega \rangle + \theta(-x_0) \langle \Omega | \phi(0)\phi(x) | \Omega \rangle.$$
 (53)

We know from the 3-momentum Wightman function above that

$$\langle \Omega | \phi(x)\phi(0) | \Omega \rangle = \int \frac{d^3p}{(2\pi)^3 2E(\mathbf{p})} e^{-i\mathbf{p}\cdot x},\tag{54}$$

$$\langle \Omega | \phi(0)\phi(x) | \Omega \rangle = \int \frac{d^3p}{(2\pi)^3 2E(\mathbf{p})} e^{i\mathbf{p}\cdot x},\tag{55}$$

and so the time-ordered expression is

$$\langle \Omega | T\{\phi(x)\phi(0)\} | \Omega \rangle = \int \frac{d^3p}{(2\pi)^3 2E(\mathbf{p})} \left[ \theta(x_0)e^{-i\mathbf{p}\cdot x} + \theta(-x_0)e^{i\mathbf{p}\cdot x} \right].$$
(56)

Again, we want to rewrite this as a 4-momentum integral. If we consider the  $p_0$  integral of eq. (43) explicitly,

$$\int \frac{dp_0}{2\pi} \frac{e^{-ip_0 x_0}}{(p_0)^2 - E(\boldsymbol{p})^2 + i\epsilon}.$$
(57)

This integral can be done via contour integration with poles at  $p_0 = \pm E(\mathbf{p}) \mp i\epsilon$ . By closing the contour:

- → If  $x^0 > 0$ , we close in the lower half-plane and pick up  $p^0 = E(\mathbf{p}) i\epsilon$ ;
- → If  $x^0 < 0$ , we close in the upper half-plane and pick up  $p^0 = -E(\mathbf{p}) + i\epsilon$ .

The residues give

$$\int \frac{dp_0}{2\pi} \frac{e^{-ip_0 x_0}}{(p_0)^2 - E(\boldsymbol{p})^2 + i\epsilon} = \frac{1}{2E(\boldsymbol{p})} \left[ \theta(x_0) e^{-iE(\boldsymbol{p})x_0} + \theta(-x_0) e^{iE(\boldsymbol{p})x_0} \right]$$
(58)

and then reincluding the 3-momentum integral, this will return us to eq. (56). Thus, eq. (56) is equivalent to eq. (43).

- 2.5. In operatorial formalism and temporal gauge  $(A_0 = 0)$ , QCD can be described in terms of the following fundamental fields in the Schrödinger picture:
  - ► the gluon field  $A_k(x) = \sum_a A_k^a(x)T^a$ , where k = 1, 2, 3 is the spatial index,  $a = 1, \ldots, 8$  is the colour index, and  $T^a$  are the generators the gauge group SU(3 with the normalisation  $\operatorname{tr}(T^aT^b) = \frac{1}{2}\delta^{ab}$ ; for instance, one can choose  $T^a = \lambda^a/2$  where  $\lambda^a$  are the Gell-Mann matrices;
  - ► the chromoelectric field  $E_k(x) = \sum_a E_k^a(x)T^a$ , with the same conventions as for the gluon field;
  - ► the quark fields  $\psi_{\alpha i f}(x)$ , where  $\alpha = 1, 2, 3, 4$  is the Dirac spinor index, i = 1, 2, 3 is the colour index and f = u, d is the flavour index.

The fundamental fields satisfy canonical equal-time (anti-)commutation relations:

$$[A_j^a(x), E_k^b(y)] = i\delta_{jk}\delta^{ab}\delta^{(3)}(\boldsymbol{x} - \boldsymbol{y}),$$
(59)

$$\{\psi_f(x), \psi_g^{\dagger}(y)\} = I_{12 \times 12} \delta_{fg} \delta^{(3)}(\boldsymbol{x} - \boldsymbol{y}).$$
(60)

Consider the operator

$$G(x) = \sum_{a} G^{a}(x)\lambda^{a},$$
(61)

$$G^{a}(x) = D_{k}E^{a}_{k}(x) + \sum_{f}\psi^{\dagger}_{f}(x)T^{a}\psi_{f}(x), \qquad (62)$$

and its smeared version

$$G(\omega) = 2 \int d^3x \operatorname{tr}[\omega(x)G(x)], \qquad (63)$$

where  $\omega(x) = \sum_{a} \omega^{a}(x)T^{a}$  is a test function which is smooth and vanish at infinity. Notice that we smear here only in space, not in time.

Calculate the commutators of  $G(\omega)$  with the fundamental fields  $A_k^a(x)$ ,  $E_k^a(x)$ , and  $\psi_f(x)$ . Argue that G(x) can be interpreted as the generator of gauge transformations and that physical states must satisfy the condition  $G(x)|\Psi\rangle = 0$ .

**Solution:** First, we will rewrite  $G(\omega)$  by propagating  $\omega^a(x)$  through the expression for  $G^a(x)$ :

$$G(\omega) = \int d^3x \left\{ -E_k^a(x)(D_k\omega(x))^a + \sum_f \psi_f^\dagger \omega(x)\psi_f(x) \right\},\tag{64}$$

where we have reordered the derivative on the gauge field part using IBP and vanishing boundary terms.

► Commutator with  $A_j^b(y)$ . Since  $A_j^b(y)$  commutes with the fermion fields  $\psi$ , we are only concerned with the gauge field part of  $G(\omega)$ :

$$[G(\omega), A_j^b(y)] = -\int d^3x \left[ E_k^a(x), A_j^b(y) \right] (D_k \omega(x))^a = i D_j \omega^b(y), \tag{65}$$

where we have used eq. (59). Note that this is exactly how the gauge field transform under an infinitesimal gauge transformation,  $\delta_w A_i^b = D_j \omega^b$ .

➤ Commutator with  $E_j^b(y)$ . Again,  $E_j^b(y)$  commutes with the fermion fields, and also with itself, and so naively it would be 0. However, we should realise that  $E_j^b(x)$  does not commute with  $A_i^c(y)$  and so we should expand the covariant derivative,  $(D_k E^k)^a = \partial_k E^{k,a} + f^{abc} A_i^b E^{i,c}$ :

$$[G(\omega), E_j^d(y)] = \int d^3x \,\omega^a(x) g f^{abc}[A_i^b(x) E^{i,c}(x), E_j^d(y)]$$
(66)

$$= i \int d^3x \,\omega^a(x) f^{abc} \delta^{bd} \delta_{ij} \delta^{(3)}(\boldsymbol{x} - \boldsymbol{y}) E^{i,c}(x) \tag{67}$$

$$= i f^{abc} \omega^a(y) E^{j,c}(y). \tag{68}$$

This again represents an infinitesimal gauge transformation in the adjoint representation.

► Commutator with  $\psi_f(y)$ . First we can recognise that the quark field fully commutes with the gauge field part of  $G(\omega)$ , so we are left with

$$[G(\omega),\psi_f(x)] = \int d^3y \,\omega^a(y) [\psi_g^{\dagger}(y)T^a\psi_g(y),\psi_f(x)]$$
(69)

. Using the identity

$$[\psi_g^{\dagger}(y)T^a\psi_g(y),\psi_{\beta,f}(x)] = -\delta_{fg}T^a_{\beta\gamma}\delta^{(3)}(\boldsymbol{x}-\boldsymbol{y})\psi_{\gamma,g}(y),$$
(70)

we find

$$[G(\omega),\psi_{\beta,f}(x)] = -\int d^3y \,\omega^a(y) \delta_{fg} T^a_{\beta\gamma} \delta^{(3)}(\boldsymbol{x}-\boldsymbol{y}) \psi_{\gamma,g}(y)$$
(71)

$$= -\omega^a(x)\lambda^a_{\beta\gamma}\psi_{\gamma,f}(x) \tag{72}$$

$$= -\omega(x)\psi(x). \tag{73}$$

This is the infinitesimal gauge transformation of  $\psi(x)$  in the fundamental representation.

So we see that for all three cases,  $G^{a}(x)$  generates gauge transformation. However, gauge transformations are not physical symmetries and therefore physical states must be invariant under gauge transformations. In order for this to be true, then

$$G(x)|\Psi\rangle = 0,\tag{74}$$

$$G(\omega)|\Psi\rangle = 0. \tag{75}$$

This is analogous to Gauss' law in QED.

2.6. With the notation of the previous problem, the Hamiltonian of QCD in the temporal gauge  $({\cal A}_0=0)$  reads

$$\mathcal{H} = \int d^3x \, \left\{ g^2 \mathrm{tr} E_k^2(x) + \frac{1}{2g^2} \mathrm{tr} F_{jk}^2(x) + \sum_f \bar{\psi}_f(-i\gamma_k D_k - m_f) \psi_f(x) + \epsilon_0 \right\},\tag{76}$$

with the following definitions:

$$F_{jk} = \partial_j A_k - \partial_k A_j + i[A_j, A_k], \tag{77}$$

$$D_k = \partial_k + iA_k,\tag{78}$$

$$\bar{\psi}_f(x) = \psi_f^{\dagger}(x)\gamma^0. \tag{79}$$

The additive constant  $\epsilon_0$  is chosen in such a way that the vacuum has zero energy. Show that  $[G(x), \mathcal{H}] = 0$ , i.e. the Hamiltonian is invariant under gauge transformations.

**Solution:** The Hamiltonian is constructed entirely from gauge-invariant quantities such as  $\operatorname{tr} E^2, \operatorname{tr} F^2, \overline{\psi}\psi$ , and therefore any gauge transformation must leave  $\mathcal{H}$  invariant by definition. An alternative argument is using Heisenberg evolution. Physically, this means that Gauss' law is preserved in time. If  $|\Psi(t)\rangle$  satisfies  $G^a(x)|\Psi(t)\rangle = 0$  at time t = 0, then

$$\frac{d}{dt}G^{a}(\boldsymbol{x},t) = \frac{i}{\hbar}[\mathcal{H}, G^{a}(\boldsymbol{x},t)] = 0 \implies G^{a}(\boldsymbol{x},t) = G^{a}(\boldsymbol{x},0).$$
(80)

So the constraint is consistent with Hamiltonian evolution, it doesn't break from the dynamics.

2.7. With the notation of the previous two problems, consider the operators

$$Q_f = \int d^3x \,\psi_f^{\dagger}(x)\psi_f(x),\tag{81}$$

$$U_f(\alpha) = e^{-i\alpha Q_f}.$$
(82)

- a) Calculate the commutators of  $Q_f$  with the fundamental fields  $A_k^a(x)$ ,  $E_k^a(x)$ ,  $\psi_g(x)$ , and  $\psi_g^{\dagger}(x)$ .
- b) Calculate

 $U_f(\alpha)A_k^a(x)U_f^{\dagger}(\alpha), \quad U_f(\alpha)E_k^a(x)U_f^{\dagger}(\alpha), \quad U_f(\alpha)\psi_g(x)U_f^{\dagger}(\alpha), \quad U_f(\alpha)\psi_g^{\dagger}(x)U_f^{\dagger}(\alpha).$ 

- c) If P is a generic product of the fundamental fields and their derivatives, relate  $[Q_f, P]$  to the number of quark fields of flavour f appearing in the operator P. The operators  $Q_u$  and  $Q_d$  are called *up-quark number* and *down-quark number* respectively.
- d) Show that the Hamiltonian commutes with  $Q_f$ . Notice that this implies that  $U_f(\alpha)$  is a symmetry of the theory and the corresponding generators  $Q_f$  are conserved charges. We say that the *up-quark number* and *down-quark number* are conserved in QCD.
- e) Write the baryon-number and electric-charge operators in terms of  $Q_u$  and  $Q_d$ .

## Solution:

a) The gluon  $A_k^a(x)$  and chromoelectric  $E_k^a(x)$  fields commute with the quark fields and therefore commute with  $Q_f$ :

$$[Q_f, A_k^a(x)] = 0, (83)$$

$$Q_f, E_k^a(x)] = 0. (84)$$

With the anti-commutation relations of quark fields,

$$\{\psi_f(x), \psi_g(y)\} = 0, \tag{85}$$

$$\{\psi_f^{\dagger}(x),\psi_g(y)\} = -\delta_{fg}\delta^{(3)}(\boldsymbol{x}-\boldsymbol{y}),\tag{86}$$

we now compute

$$[Q_f, \psi_g(y)] = \int d^3x \left[ \psi_f^{\dagger}(x)\psi_f(x), \psi_g(y) \right]$$
(87)

$$= \int d^3x \left\{ \psi_f^{\dagger}(x) [\psi_f(x), \psi_g(y)] + [\psi_f^{\dagger}(x), \psi_g(y)] \psi_f(x) \right\}$$
(88)

$$= -\int d^3x \,\delta_{fg} \delta^{(3)}(\boldsymbol{x} - \boldsymbol{y}) \psi_f(x) \tag{89}$$

$$[Q_f, \psi_g(y)] = -\delta_{fg} \psi_g(y), \tag{90}$$

and similarly

$$[Q_f, \psi_g^{\dagger}(y)] = +\delta_{fg}\psi_g^{\dagger}(y).$$
(91)

b) Using the BCH formula, we can write

$$U_f X U_f^{\dagger} = e^{-i\alpha Q_f} X e^{i\alpha Q_f} = e^{-i\alpha [Q_f, \cdot]} X, \quad X \in \{A_k^a(x), E_k^a(x), \psi_g(x), \psi_g^{\dagger}(x)\}.$$
(92)

Since the gluon and chromoelectric fields commute with  $Q_f$ , we can see that they are left invariant under  $U_f$ :

$$U_f(\alpha)A_k^a(x)U_f^{\dagger}(\alpha) = A_k^a(x), \tag{93}$$

$$U_f(\alpha)E_k^a(x)U_f^{\dagger}(\alpha) = E_k^a(x).$$
(94)

With the results in eqs. (90) and (91), we can see that the quark fields  $\psi_g(x)$  and  $\psi_g^{\dagger}(x)$  will not be invariant under  $U_f$ :

$$U_f(\alpha)\psi_g(x)U_f^{\dagger}(\alpha) = e^{i\alpha\delta_{fg}}\psi_g(x), \qquad (95)$$

$$U_f(\alpha)\psi_g^{\dagger}(x)U_f^{\dagger}(\alpha) = e^{-i\alpha\delta_{fg}}\psi_g^{\dagger}(x).$$
(96)

c) We recall the Leibniz rule

$$[Q_f, AB] = [Q_f, A]B + A[Q_f, B]$$
(97)

which can be performed recursively so it is sufficient to know how  $Q_f$  commutes with elementary fields to know how it commutes with a generic product P. As well as the commutators calculated above, we will need that derivatives commute with  $Q_f$ , i.e.

$$[Q_f, \partial_\mu \psi_f] = \partial_\mu [Q_f, \psi_f] = -\partial_\mu \psi_f.$$
(98)

Let P be a product of fields and derivatives, so it contains  $n_f$  factors of  $\psi_f$  and  $m_f$  factors of  $\psi_f^{\dagger}$ . Each  $\psi_f$  contributes -1 and each  $\psi_f^{\dagger}$  contributes +1 to the commutator, and therefore

$$[Q_f, P] = (m_f - n_f)P.$$
(99)

d) Recall the Hamiltonian defined in eq. (76). We can calculate  $[Q_f, \mathcal{H}]$  term-by-term. The gauge field terms clearly commute with  $Q_f$ , i.e.

$$Q_f, E^2(x)] = 0, (100)$$

$$[Q_f, F_{jk}^2(x)] = 0. (101)$$

The quark kinetic term commutes as

$$[Q_f, \psi_g^{\dagger} D_j \psi_g] = [Q_f, \psi_g^{\dagger}] D_j \psi_g + \psi_g^{\dagger} D_j [Q_f, \psi_g] = \delta_{fg} \left( \psi_g^{\dagger} D_j \psi_g - \psi_g^{\dagger} D_j \psi_g \right) = 0.$$
(102)

The quark mass term commutes as

$$[Q_f, \psi_g^{\dagger} m_g \psi_g] = [Q_f, \psi_g^{\dagger}] m_g \psi_g + m_g \psi_g^{\dagger} [Q_f, \psi_g] = \delta_{fg} \left( \psi_g^{\dagger} m_g \psi_g - \psi_g^{\dagger} m_g \psi_g \right) = 0.$$
(103)

Therefore,

$$[Q_f, \mathcal{H}] = 0. \tag{104}$$

e) Each (anti-)quark carries a baryon number  $(-)\frac{1}{3}$ , so the total baryon number is

$$B = \frac{1}{3} \sum_{f} Q_f. \tag{105}$$

Up-type quarks have electric charge  $+\frac{2}{3}$  and down-type quarks have electric charge  $-\frac{1}{3}$ , so the electric-charge operator is

$$Q = \frac{2}{3}Q_u - \frac{1}{3}Q_d.$$
 (106)